



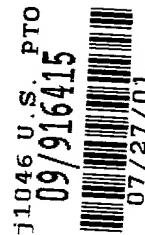
07/27/01

**BAKER BOTTS** L.L.P.Appln. Trans.  
PATENTAttorney Docket No. A34482-PCT-USA (071308.0207)

Appln. Trans.

**UTILITY PATENT  
APPLICATION  
TRANSMITTAL***(Only for new nonprovisional  
applications under 37 CFR 1.53(b))*Attorney Docket No. A34482-PCT-USA (071308.0207)First Named Inventor Thomas Talanis

Express Mail Label No.

Total Pages 3

Date: July 27, 2001

**BY EXPRESS MAIL - Label No EK839854418US**Assistant Commissioner for Patents  
Box Patent Application  
Washington, DC 20231

Sir:

Enclosed herewith for filing is a patent application of Thomas Talanis, et al., entitled SYSTEM AND METHOD FOR THE OPERATOR CONTROL AND FOR THE MONITORING OF AN AUTOMATION SYSTEM OVER THE INTERNET USING AN ASYMMETRIC INTERNET CONNECTION, which includes:

- |   |                       |
|---|-----------------------|
| <input checked="" type="checkbox"/> Specification | <u>10</u> Total Pages |
| <input checked="" type="checkbox"/> Claims        | <u>3</u> Total Pages  |
| <input checked="" type="checkbox"/> Abstract      | <u>1</u> Total Pages  |
| <input checked="" type="checkbox"/> Drawing(s)    | <u>3</u> Total Sheets |
| - formal  |                       |
| <input checked="" type="checkbox"/> informal      |                       |
- ☒ Combined Declaration and Power of Attorney 3 pages
- ☒ Newly executed (original or copy)
- ☐ Copy from a prior application
- (for continuation/divisional only - **must be filed to avoid surcharge for late filing**)

If a continuing application, check appropriate box:

- ☒ Continuation      ☐ Divisional      ☐ Continuation-In-Part (CIP)
- of application No. PCT/DE00/00146 filed January 17, 2000, PCT Publication WO 00/45563, which claims the priority of DE 199 04 331.0 filed January 28, 1999."

- ☒
- Amend the specification by inserting, before the first line, the following sentence:

"This is a   ☒ continuation   ☐ divisional   ☐ continuation-in-part  
of copending application Serial No. PCT/DE00/00146 filed January 17, 2000, PCT Publication WO 00/45563,  
which claims the priority of DE 199 04 331.0 filed January 28, 1999."

- ☒
- An Assignment of the invention to
- SIEMENS AG
- .

- ☒ is attached. A separate cover sheet in compliance with 37 CFR 3.28 and 3.31 is included.
- ☐ will follow.
- ☐ has been filed in the prior application

**BAKER BOTTS** L.L.P.Appln. Trans.  
PATENTAttorney Docket No. A34482-PCT-USA (071308.0207)☐ Small Entity status is hereby claimed.☒ Information Disclosure Statement (IDS) PTO-1449☒ Copies of IDS Citations.☐ Request and Certification for Non-publication under 35 U.S.C. § 122(b)(2)(B)(i) **ENCLOSED**.☐ Request to Rescind previous Non-publication Request under 35 U.S.C. § 122(b)(2)(B)(ii) **ENCLOSED**.☐ Request for Redacted Publication under 35 U.S.C. § 122(b)(2)(B)(v) and the Redacted Publication **ENCLOSED**.☐ Request for Early Publication under 35 U.S.C. § 122(b)(1)(A) **ENCLOSED**.☐ Preliminary Amendment☒ Return Receipt Postcard☐ Cancel in this application original claims \_ of the prior application before calculating the filing fee.

The filing fee has been calculated as shown below:

FOR	(Col. 1)			(Col. 2)		Small Entity	Rate	Fee	OR	Other Than A	
	No.	Filed		No.	Extra					Rate	Fee
Basic Fee											\$710.00
Total Claims	10	-20	=	0	x 9	=		\$0.00	x 18	=	\$0.00
Ind. Claims	1	-3	=	0	x 40	=		\$0.00	x 80	=	\$0.00
Multiple Dependent Claim					+ 13	=		\$0.00	+ 270	=	\$0.00
Total											<u>\$710.00</u>

\* If the difference in Col. 1 is less than zero, enter "0" in Col. 2.

Fee Payment Being Made:

☒ Enclosed☒ Basic filing fee \$710.00☒ Recording Assignment \$40.00  
[\$40.00; 37 CFR 1.21(h)]☐ Publication Fees \$0.00

Total Fees Enclosed \$750.00

☒ A check in the amount of \$750.00 to cover filing fee is enclosed.

Appln. Trans.  
PATENT

Attorney Docket No. A34439-PCT-USA (071308.0207)

Priority

- [X] Priority of application Country Germany, Appln. No. PCT/DE00/00146 filed January 17, 2000, is claimed under 35 U.S.C. 119.
- [X] Certified Copy of Priority Documents Country Germany, Appln. No PCT/DE00/00146 filed January 17, 2000.

☐ are attached ☒ will follow ☐ has been filed in the parent application S/N   .

- [X] The Commissioner is hereby authorized to charge payment of any additional filing fees required under 37 CFR 1.16, 1.17, and 1.21(h) associated with this communication or credit any overpayment to Deposit Account No. 02-4377. Two copies of this sheet are enclosed.

BAKER BOTTS L.L.P.

By 

Bradley B. Geist

PTO Registration No. 27,551

Enclosures